

**Enterprise Privacy and Security Office- Security Incident Tracking Log  
(06-01-11 to 11-14-11)**

**Attachment 1A  
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Type of Incident	Official Notification Date of Incident Sent to EPPO	Originating Division, Branch, or Office	Comments
Missing BlackBerry Pearl 8110 Smartphone	06/03/11	LPBA	Based on the investigative work performed to date (06-08-11), the Enterprise Privacy and Security Office (EPPO) infers on the basis of the evidence collected and examined that a Colliers International issued BlackBerry Pearl 8110 smartphone device was missing in San Diego, CA on May 30, 2011. The services to the smartphone device were suspended on June 2, 2011. The device was password protected.
Missing Blackberry	06/07/11	INVO/EXEO	Based on the investigative work performed to date (06-24-11), the EPPO infers on the basis of the evidence collected and examined that a BlackBerry smartphone device was missing on a Southwest Airlines flight on June 6, 2011. The services to the smartphone device were suspended on June 10, 2011. The device was password protected.
LPN Parking Garage Breach	06/09/11	OSSD	<p>Based on the investigative work performed to date (06-14-11), the EPPO infers on the basis of the evidence collected and examined that a CalPERS employee loaned their assigned CalPERS building access card to a friend in an unauthorized manner for the purpose of parking free in the Lincoln Plaza North Complex garage to attend the Sacramento Jazz Festival. This is a violation of the CalPERS Information Security Lincoln Plaza Complex Access Card Practice that states:</p> <p>Authorized building access card holders must maintain sole physical custody of their building access cards. The employee was counseled about the seriousness of this violation.</p>
Key Logger	06/10/11	CA OIS	Based on the investigative work performed to date (06-24-11), the EPPO infers on the basis of the evidence collected and examined that no CalPERS information systems were compromised. It was concluded that a personal computer owned by a CalPERS member (not a CalPERS employee) was compromised. Although the credentials were compromised, it is not possible to view other member's personally identifiable information; only the compromised account information is available. The CalPERS member was advised on how to resolve the problem on their PC and that their CalPERS account would be locked until the member was satisfied that the virus had been removed from their PC.

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Stolen Bike from LPW Bicycle Room	06/23/11	OSSD	<p>Based on the investigative work performed to date (08-02-11), the EPPO infers on the basis of the evidence collected and examined that a violation of the CalPERS Information Security Lincoln Plaza Complex Access Card Practice occurred. The Practice states:</p> <p>"All CalPERS visitors (including Regional Office employees) must be accompanied or escorted at all times by a CalPERS employee while they are in a restricted "employee only" area."</p> <p>The California Highway Patrol received a copy of the video from Colliers. While employees are encouraged to "challenge" persons in secure areas without a proper access card, employee safety must be the primary concern. If the employees who witnessed the unauthorized removal of the bicycle were unsure if the person was authorized to access Room 1620X, they could have called Colliers International immediately on one of the intercoms near the room. However, culpability cannot be solely placed with the two CalPERS employees who did not challenge the intruder, as the bicycle was not locked to the bike rack inside the room.</p>
Phishing E-Mail Message Attempt	07/01/11	PSR	<p>Based on the investigative work performed to date (07-06-11), the EPPO infers on the basis of the evidence collected and examined that a potential e-mail phishing attempt to gain unauthorized access to the CalPERS e-mail system was made unsuccessfully. In addition, there was no release of confidential information to the phisher. An awareness email was sent out to all employees advising them to "Think Before They Click".</p>

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Key Logger	07/12/11	CA OIS	Based on the investigative work performed to date (07-20-11), the EPPO infers on the basis of the evidence collected and examined that no CalPERS information systems were compromised. It was concluded that a personal computer owned by a CalPERS member (not a CalPERS employee) was compromised. Although the credentials were compromised, it is not possible to view other member's personally identifiable information (only the compromised account information is available). The CalPERS member was advised on how to resolve the problem on their PC and that their CalPERS account would be locked until the member was satisfied that the virus had been removed from their PC.
Key Logger	07/18/11	CA OIS	Based on the investigative work performed to date (08-10-11), the EPPO infers on the basis of the evidence collected and examined that no CalPERS information systems were compromised. It was concluded that a personal computer owned by a CalPERS member was compromised. Although the credentials were compromised, it is not possible to view other member's personally identifiable information (only the compromised account information is available). The CalPERS member was advised on how to resolve the problem on their PC and that their CalPERS account would be locked until the member was satisfied that the virus had been removed from their PC.

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my CalPERS Address Change	07/19/11	CSED	Based on the investigative work performed to date (07-26-11), the EPPO infers on the basis of the evidence collected and examined that an incorrect mailing address change occurred in the my CalPERS account for a retired CalPERS employee. The online mailing address had been changed to an address in the Country of Latvia without the knowledge and approval of the employee. The online mailing address for the employee has been corrected. It was determined that this was simply a mistake and the CSED employee responsible was counseled.
HR Disgruntled Job Applicant	07/26/11	HRSD	A disgruntled applicant has been sending harassing e-mail messages to the Human Resources Division Recruitment (HRSD) e-mail box. From reviewing the e-mail messages, it is clear that the applicant is disgruntled with the CalPERS recruitment process. Although the e-mail messages are angry, the disgruntled applicant has not made a threat other than to say he knows that his "nemis" is a CalPERS HRSD employee who was one of the hiring managers listed on the job advertisement. This HRSD employee does not feel threaten by the angry e-mail messages. In addition, the HRSD Recruitment Managers have developed another method to identify who is responsible for a recruitment and to remove the names from the bulletins. And finally, HRSD will monitor this disgruntled person's applications and will stop sending the applicant the post recruitment e-mail messages that seem to trigger this reaction.
Unauthorized Workstation Logon	08/19/11	MBSD	A CalPERS employee believes that someone logged into her CalPERS Desktop with her logon credentials on Thursday, August 18, 2011. The EPPO verified that no unauthorized access had occurred.
Missing Blackberry	08/22/11	ITSB/PSR	The EPPO infers on the basis of the evidence collected and examined that a CalPERS issued BlackBerry Curve 8310 smartphone device assigned to a CalPERS employee was reported as missing from their camping trip in Jackson Hole, Wyoming.

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Key Logger	09/02/11	CA OIS	The EPPO infers on the basis of the evidence collected and examined that no CalPERS information systems were compromised. It was concluded that a personal computer owned by a CalPERS member was compromised. Although the credentials were compromised, it is not possible to view other member's personally identifiable information because only the compromised account information is available.
Missing Blackberry	10/03/11	ITSB	The EPPO infers on the basis of the evidence collected and examined that a CalPERS issued BlackBerry Bold 9700 smartphone device assigned to a CalPERS employee was reported as missing from his trip to the Urgent Care Clinic in Natomas, California.
Missing Samsung Galaxy Tablet	10/03/11	ITSB	The EPPO infers on the basis of the evidence collected and examined that a CalPERS issued Samsung Galaxy Tablet assigned to a CalPERS employee was missing from a trip using the Sacramento Regional Transit Light Rail cars at the Gold Line Sunrise Station.